

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on **February 10, 2004** in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EL984605958US**, addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Diane Schwanbeck
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Attorney Docket No. LAM2P306C

First Named Inventor: de la LLERA, Anthony

17497 U.S. PTO
10/776477

021004

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents
Mail Stop: Patent Application
Alexandria, VA 22313-1450

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the names of inventors:
Anthony de la Llera, Xuyen Pham, Andrew Siu, Tuan A. Nguyen and Tony Luong

For: **METHOD AND APPARATUS FOR APPLYING DOWNWARD FORCE ON
WAFER DURING CMP**

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part

of prior Application No. **10/033,671** from which priority under 35 U.S.C. § 120 is claimed.

Application Elements:

- ☒ 23 Pages of Specification, Claims and Abstract
☒ 05 Sheets of **formal** Drawings
☒ 03 Pages Combined Declaration and Power of Attorney
☐ Newly executed (original or copy)
☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).
The entire disclosure of the prior application from which a copy of the declaration is
herein supplied is considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
☐ Deletion of inventors Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ Power of Attorney
☐ 37 CFR 3.73(b) Statement by Assignee
☒ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations

- ☐ Preliminary Amendment
☒ Return Receipt Postcard
☐ Other:

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Assignment

- ☒ The prior application is assigned of record to Lam Research Corporation.

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OR	LARGE ENTITY RATE FEE
BASIC FEE			\$385 \$	OR	\$770 \$770.00
TOTAL CLAIMS	<u>20</u> -20 = <u>00</u>		x09 = \$	OR	x18 = \$
INDEP CLAIMS	<u>03</u> -03 = <u>00</u>		x43 = \$	OR	x86 = \$
[] Multiple Dependent Claim Presented			\$145 = \$	OR	\$290 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	<u>Total \$770.00</u>

- ☒ Check No. 10462 in the amount of \$770.00 is enclosed.

- ☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P306C).


General Authorization for Petition for Extension of Time (37 CFR § 1.136)

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P306C).

- ☒ Please send correspondence to the following address:

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Date: February 10, 2004


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Registration No. **51,521**